

Reference: For definitions of collapsible, nonevasive, shellable, constructible, vertex-decomposable, pure, strongly connected, Cohen-Macaulay, see "Björner - Combinatorial Topology" Pg 1853-1856.

Notation:  $\Delta$  is a finite simplicial complex (unless specified otherwise),  
 $\dim \Delta = d$ .  
 $\sigma \in \Delta$  is a simplex, of  $\dim k$ .

### Basic Facts About Links and Collapses

Ex 1: If  $\sigma \cup \tau \in \Delta$  and  $\sigma \cap \tau = \emptyset$ , prove that  $lk_{lk_{\Delta}(\sigma)}(\tau) = lk_{\Delta}(\sigma \cup \tau)$

Ex 2: Link of a simplex in a pure  $\Delta$  is pure, of  $\dim d - k - 1$   
( $k = \dim \sigma$ ,  $d = \dim \Delta$ )

Ex 3: Prove that after collapsing a  $k$ -face  $\sigma$  of an  $n$ -simplex  $\tau$  ( $k < n$ ), the resultant complex is pure  $(n-1)$ -dimensional.

### Cone $\Rightarrow$ Nonevasive $\Rightarrow$ Collapsible

Ex 4: Prove cone  $\Rightarrow$  nonevasive (Use induction on no. of vertices in  $\Delta$ )  
(Hint: Pick a cone point  $p$ . Let  $q \in \Delta^0 \setminus \{p\}$ . Use the inductive hypothesis on  $lk_{\Delta}(q)$ .)

Ex 5: Suppose  $\Delta$  is a (possibly infinite) complex and  $x \in \Delta^0$  has a finite collapsible link  $lk_{\Delta}(x)$ . The goal of this exercise is to show that  $\Delta$  can be collapsed to  $dl_{\Delta}(x)$  by a finite no. of collapses.

- ① Show this is true if  $lk_{\Delta}(x) = \{x\}$ .
- ② If  $\sigma \cup \tau \in lk_{\Delta}(x)$  s.t.  $\sigma$  can be collapsed to  $\tau$  in  $lk_{\Delta}(x)$ , show that  $\sigma \cup \{x\}$  can be collapsed to  $\tau \cup \{x\}$  in  $\Delta$ .
- ③ Let  $\Delta'$  be the complex resulting after step ②. Show that  $lk_{\Delta'}(x)$  is collapsible, and note that it has fewer simplices than  $lk_{\Delta}(x)$ .
- ④ Prove via induction that  $\Delta$  can be collapsed to  $dl_{\Delta}(x)$ .

Ex 6: Assume  $\Delta$  finite. Use Ex 5 to inductively show that  $\Delta$  nonevasive  $\Rightarrow \Delta$  collapsible.

## Nonevasiveness and Barycentric subdivision

Ex 7: Alternative phrasing of non-evanescence:  $\Delta$  is nonevasive if we can successively delete vertices w/ nonevasive links to get a pt

Ex 8:  $x \in \Delta^\circ$ . Show that  
 $lk_{sd(\Delta)}(x) \cong_{\text{simplicial iso}} sd(lk_{\Delta}(x))$  (Can you also describe this isomorphism?)

Ex 9: Consider  $x \in \Delta^\circ$ . Take  $dl_{sd\Delta}(x)$ . Think of vertices in this complex as corresponding to simplices  $\{v_0, \dots, v_n\} \in \Delta$ .  
 If it's helpful, first consider the following example:



Prove that:

① For any vertex  $\{x, v\}$  in  $dl_{sd\Delta}(x)$ ,  $lk_{dl_{sd\Delta}(x)}(\{x, v\})$  is a cone w/ cone pt.  $\{v\}$ , and thus nonevasive.  
 Also, for  $v \neq w$ , there is no edge b/w  $\{x, v\}$  and  $\{x, w\}$ .  
 successively delete these vertices, in the sense of Ex 4.

② Consider the remaining complex (after deleting all  $\{x, v\}$ ).  
 Show that all vertices of the form  $\{x, v, w\}$  have links that are a cone w/ cone pt  $\{v, w\}$ .  
 We can successively delete these vertices.

③ Use the ideas from ① and ② to show that  $dl_{sd\Delta}(x)$  can be reduced to  $sd(dl_{\Delta}(x))$  by a sequence of the moves described in Ex 5.

Thus,  
 if  $sd(dl_{\Delta}(x))$  is nonevasive, then  $dl_{sd\Delta}(x)$  is nonevasive.

Ex 10: Use Ex 7, 8 & 9 to prove (for finite  $\Delta$ ) that non-evanescence is preserved under taking barycentric subdivisions.

## Collapsibility and Barycentric Subdivision

Ex 11: Suppose  $\sigma = [v_0, v_1, \dots, v_k]$  and  $\tau = [v_0, v_1, \dots, v_k, \dots, v_n]$

Let collapse  $(\sigma, \tau)$  be the resultant complex after collapsing  $\sigma$  onto  $\tau$ .  
 Show that collapse  $(\sigma, \tau)$  can be obtained after a sequence of elementary collapses. (i.e. where the collapsing face is of codim 1).

Hint: We need to eventually remove all simplices containing  $[v_0, v_1, \dots, v_k]$  as a face.

step 1: Show that we can perform an elementary collapse to remove all  $(n-1)$ -simplices  $\gamma$  s.t.  $[v_0, v_1, \dots, v_k] \subset \gamma \subset [v_0, v_1, \dots, v_{n-1}]$  and  $n$ -simplices  $\pi$  s.t.  $[v_0, v_1, \dots, v_k, v_n] \subset \pi \subset [v_0, v_1, \dots, v_n]$

step 2: Show that we can perform elementary collapses to remove all  $(n-2)$ -simplices  $\gamma$  s.t.  $[v_0, v_1, \dots, v_k] \subset \gamma \subset [v_0, v_1, \dots, v_{n-1}]$  and  $(n-1)$ -simplices  $\pi$  s.t.  $[v_0, v_1, \dots, v_k, v_n] \subset \pi \subset [v_0, v_1, \dots, v_n]$

step 3: Guess (and prove!) what step 3 should be.

Ex 12: Let  $\sigma = [v_0, v_1, \dots, v_{n-1}]$  and  $\tau = [v_0, v_1, \dots, v_k, \dots, v_n]$

The aim of this exercise is to prove that we can perform a sequence of collapses to reduce  $sd(\tau)$  to  $sd(\text{collapse}(\sigma, \tau))$ .

We shall do this via elementary collapses.

Note that:

- vertices in  $sd \tau$  correspond to faces of  $\tau$ , and simplices correspond to flags.
- we need to get rid of all simplices that contain the vertices  $\{v_0, v_1, \dots, v_{n-1}\}$  or  $\{v_0, v_1, \dots, v_{n-1}, v_n\}$
- It may be helpful to draw pictures for some concrete small values of  $n$  while doing this exercise.

① We'll first remove all  $n$ -simplices containing  $\{v_0, v_1, \dots, v_{n-1}\}$  or  $\{v_0, v_1, \dots, v_{n-1}, v_n\}$

Let  $\pi \in S[\sigma, \dots, n]$

(1.1) Suppose  $\pi^{-1}(n) = n$ . Show that we can perform the following collapse:

$\{\{v_{\pi(0)}\}, \{v_{\pi(0)}, v_{\pi(1)}\}, \dots, \{v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-1)}\}\}$

$\subset \{\{v_{\pi(0)}\}, \{v_{\pi(0)}, v_{\pi(1)}\}, \dots, \{v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-1)}\}, \{v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-1)}, v_{\pi(n)}\}\}$

(1.2) Having done the collapses in (1.1), now suppose

$\pi^{-1}(n) = n-1$ .

Show that we can perform the following collapse:

$$\begin{aligned} & \{ \{ v_{\pi(0)} \}, \{ v_{\pi(0)}, v_{\pi(1)} \}, \dots, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_n, v_{\pi(n-1)} \} \} \\ \subset & \{ \{ v_{\pi(0)} \}, \{ v_{\pi(0)}, v_{\pi(1)} \}, \dots, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_n \} \\ & \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_n, v_{\pi(n-1)} \} \} \end{aligned}$$

(1.3) Having done the collapse in (1.2), now suppose  $\pi^{-1}(n) = n-2$ .

Show that we can perform the following collapse:

$$\begin{aligned} & \{ \{ v_{\pi(0)} \}, \{ v_{\pi(0)}, v_{\pi(1)} \}, \dots, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_n, v_{\pi(n-1)} \} \\ \subset & \{ \{ v_{\pi(0)} \}, \{ v_{\pi(0)}, v_{\pi(1)} \}, \dots, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_n \}, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_n, v_{\pi(n-1)} \} \\ & \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_n, v_{\pi(n-1)}, v_{\pi(n-2)} \} \} \end{aligned}$$

(1.4) We can keep proceeding in this way. The  $(n+1)$ -th step is:

$\pi^{-1}(n+1) = 0$ . Collapse:

$$\begin{aligned} & \{ \{ v_n, v_{\pi(1)} \}, \{ v_n, v_{\pi(1)}, v_{\pi(2)} \}, \dots, \{ v_n, v_{\pi(1)}, v_{\pi(2)}, \dots, v_{\pi(n-1)}, v_{\pi(n)} \} \\ \subset & \{ \{ v_n \}, \{ v_n, v_{\pi(1)} \}, \{ v_n, v_{\pi(1)}, v_{\pi(2)} \}, \dots, \{ v_n, v_{\pi(1)}, v_{\pi(2)}, \dots, v_{\pi(n-1)}, v_{\pi(n)} \} \} \end{aligned}$$

② Check that ① successfully removes all  $n$ -simplices containing  $\{v_0, v_1, \dots, v_{n-1}\}$  or  $\{v_0, v_1, \dots, v_{n-1}, v_n\}$ .

Now we wanna remove  $(n-1)$ -simplices.

As before, let  $\pi \in S_{[0,1,\dots,n]}$

(2.1) Suppose  $\pi^{-1}(n) = n$ . Show that we can perform the following collapse:

$$\begin{aligned} & \{ \{ v_{\pi(0)}, v_{\pi(1)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, v_{\pi(2)} \}, \dots, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_{\pi(n-1)} \} \\ \subset & \{ \{ v_{\pi(0)}, v_{\pi(1)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, v_{\pi(2)} \}, \dots, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_{\pi(n-1)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-1)}, v_n \} \} \end{aligned}$$

(2.2) Now suppose  $\pi^{-1}(n) = n-1$ . Show that we can perform the following collapse:

$$\begin{aligned} & \{ \{ v_{\pi(0)}, v_{\pi(1)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, v_{\pi(2)} \}, \dots, \{ v_{\pi(0)}, \dots, v_{\pi(n-2)} \}, \{ v_{\pi(0)}, \dots, v_{\pi(n-2)}, v_n, v_{\pi(n)} \} \\ \subset & \{ \{ v_{\pi(0)}, v_{\pi(1)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, v_{\pi(2)} \}, \dots, \{ v_{\pi(0)}, \dots, v_{\pi(n-2)} \}, \{ v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n-2)}, v_n \}, \\ & \{ v_{\pi(0)}, \dots, v_{\pi(n-2)}, v_n, v_{\pi(n)} \} \} \end{aligned}$$

(2.3) Keep proceeding in this way. The  $(n+1)$ th step is

$\pi^{-1}(n) = 0$  and:

$$\begin{aligned} & \{ \{v_n, v_{\pi(1)}, v_{\pi(2)}\}, \{v_n, v_{\pi(1)}, v_{\pi(2)}, v_{\pi(3)}\}, \dots, \{v_n, v_{\pi(1)}, v_{\pi(2)}, \dots, v_{\pi(n)}\} \} \\ \subset & \{ \{v_n, v_{\pi(1)}\}, \{v_n, v_{\pi(1)}, v_{\pi(2)}\}, \{v_n, v_{\pi(1)}, v_{\pi(2)}, v_{\pi(3)}\}, \dots, \{v_n, v_{\pi(1)}, v_{\pi(2)}, \dots, v_{\pi(n)}\} \} \end{aligned}$$

③ Check that ② successfully removes all  $(n-1)$ -simplices containing  $\{v_0, v_1, \dots, v_{n-1}\}$  or  $\{v_0, v_1, \dots, v_{n-1}, v_n\}$ .

Formulate the strategy for now removing all  $(n-2)$ -simplices containing  $\{v_0, v_1, \dots, v_{n-1}\}$  or  $\{v_0, v_1, \dots, v_{n-1}, v_n\}$ .

④ We can keep doing this, until we have removed all simplices containing  $\{v_0, v_1, \dots, v_{n-1}\}$  or  $\{v_0, v_1, \dots, v_{n-1}, v_n\}$ .  
What's left is  $sd(\text{collapse}(\sigma, \tau))$ .

Ex 13: Use Ex 11 & 12 to show that collapsibility is preserved under taking barycentric subdivisions.

### Vertex-Decomposable $\Rightarrow$ Shellable $\Rightarrow$ Constructible

Ex 14: For a finite pure  $d$ -dim  $\Delta$ , prove the following definitions of shellability are equivalent:

①  $\Delta$  can be reduced to a  $d$ -simplex by a sequence of  $(k, d)$ -collapses,  $k \leq d$ .

② The  $d$ -faces of  $\Delta$  can be ordered  $\sigma_1, \sigma_2, \dots, \sigma_n$  so that  $\forall k$ ,

$$(\partial\sigma_1 \cup \partial\sigma_2 \cup \dots \cup \partial\sigma_{k-1}) \cap \partial\sigma_k \text{ is a pure } (d-1)\text{-dim complex.}$$

③ The  $d$ -faces of  $\Delta$  can be ordered  $\sigma_1, \sigma_2, \dots, \sigma_n$  so that  $\forall i < k$ ,  
 $\exists j < k$  s.t.  $\sigma_i \cap \sigma_k \subset \sigma_j \cap \sigma_k$  and  $\sigma_j \cap \sigma_k$  is  $\dim(d-1)$ .

Ex 15: Compare and contrast the definitions of:

(1) shellable and collapsible

(2) vertex-decomposable and nonevasive

Ex 16: Mimic Ex 6 to show that  $\Delta$  vertex decomposable  $\Rightarrow \Delta$  shellable.

Ex 17: Mimic Ex 10 & 13 to show that vertex-decomposability and shellability are preserved by barycentric subdivision.

Ex 18: Show that shellable  $\Rightarrow$  constructible.

## Shellability & Constructibility are preserved by links

Ex 19: Use Condition ③ of Ex 14 to show that if  $\sigma \in \Delta$  and  $\Delta$  is shellable, then  $\text{lk}_\Delta(\sigma)$  is shellable.  $\nearrow$  simplex

Ex 20: The goal of this exercise is to prove that constructibility is inherited by links. Let  $d = \dim \Delta$ ,  $\sigma \in \Delta$  be of  $\dim k$ !

- ① If  $\Delta$  is a single simplex, show that  $\text{lk}_\Delta(\sigma)$  is a simplex (and thus constructible).
- ② Show that if  $\Delta = \Delta_1 \cup \Delta_2$  and  $\sigma \in \Delta_1 \setminus \Delta_2$ , then  $\text{lk}_\Delta(\sigma) \subset \Delta_1$ .
- ③ Show that if  $\sigma \in \Delta_1 \cap \Delta_2$ , then  $\text{lk}_\Delta(\sigma) = \text{lk}_{\Delta_1}(\sigma) \cup \text{lk}_{\Delta_2}(\sigma)$  and  $\text{lk}_{\Delta_1 \cap \Delta_2}(\sigma) = \text{lk}_{\Delta_1}(\sigma) \cap \text{lk}_{\Delta_2}(\sigma)$ .
- ④ Show that if  $d = 0$  or  $1$ , then  $\text{lk}_\Delta(\sigma)$  is always constructible.
- ⑤ For  $d \geq 2$ , show by inducting on the  $\dim d$  and also on the size of the vertex set of  $\Delta$  that  $\Delta$  constructible  $\Rightarrow \text{lk}_\Delta(\sigma)$  is constructible.

## Properties of Cohen-Macaulay Complexes

Ex 21: Use Ex 20 to prove that shellable  $\Rightarrow$  constructible  $\Rightarrow$  homotopy CM

Ex 22: ① Suppose  $\Delta$  is (homology) Cohen-Macaulay, and that  $x \in \Delta^0$ . Show that  $H_i(\Delta, \Delta - \{x\}) = 0 \quad \forall \quad i \neq d-1$ .

② Now suppose that  $x$  is in the interior of a simplex  $\sigma \in \Delta$  of  $\dim k$ . Show in this case too that  $H_i(\Delta, \Delta - \{x\}) = 0 \quad \forall \quad i \neq d-1$ .

③ Prove that  $\Delta$  is homology Cohen-Macaulay  $\Leftrightarrow H_i(\Delta, \Delta - \{x\}) = 0$  for  $i \neq d-1 \quad \forall \quad x \in \|\Delta\|$ .

Ex 23: Define property P as follows:

(P) : For all  $\sigma \in \Delta \cup \{\emptyset\}$  s.t.  $\sigma$  is of  $\text{codim} \leq 2$  (i.e.  $\dim(\text{lk}_\Delta(\sigma)) \geq 1$ ),  $\text{lk}_\Delta(\sigma)$  is connected.

Suppose  $\Delta$  is finite-dimensional (of  $\dim d$ ) and satisfies P.

Our goal is to prove that  $\Delta$  is pure and strongly connected.

We will induct on  $\dim \Delta$ .

- ① Prove that the claim holds when  $d=0$  or  $1$ .
- ② Using the fact that  $\text{lk}_{\text{lk}_\Delta(\sigma)}(\tau) = \text{lk}_\Delta(\sigma \cup \tau)$ , show that if  $\Delta$  satisfies property P, then so does  $\text{lk}_\Delta(\sigma)$ .
- ③ Suppose  $d \geq 2$ , and  $\Delta$  is not pure. Show that we can find  $x \in \Delta^0$  s.t.  $x$  belongs to 2 distinct maximal faces of  $\Delta$  with different dimensions. Thus conclude that  $\text{lk}_\Delta(x)$  is not pure.  
 (Hint: • Let  $\Delta'$  be the (complex formed by the) union of all  $\dim d$  simplices in  $\Delta$ .  
 • since  $\Delta$  is not pure, there must be a  $\dim \geq 1$  simplex in  $\Delta \setminus \Delta'$  (which must also necessarily have  $\dim < d$ .  
 •  $\text{lk}_\Delta(\phi) = \Delta$  is connected, so this implies that there must be some vertex  $x \in \Delta'$  that is part of such a simplex. Show that this is the desired point  $x$ .)
- ④ Suppose  $d \geq 2$ . Using ②, ③ and inducting on  $\dim \Delta$ , show that if  $\Delta$  satisfies P, then  $\Delta$  is pure.
- ⑤ Let  $k = \dim \sigma$ . Recall that (if  $\Delta$  is pure)  $\dim(\text{lk}_\Delta \sigma) = d - k - 1$ . Show that  $\text{lk}_{\Delta^{d-1}}(\sigma) = (\text{lk}_\Delta \sigma)^{d-k-2}$ .
- ⑥ Show that if  $d \geq 2$  and  $\Delta$  is connected then  $\Delta^{d-1}$  is also connected. (bonus: in fact,  $\Delta$  strongly connected also implies that  $\Delta^{d-1}$  is strongly connected)
- ⑦ Suppose  $d \geq 2$ . Show that  $\Delta^{d-1}$  strongly connected  $\Rightarrow \Delta$  is strongly connected.  
 Hint: say that a pair of  $d$ -simplices  $(\sigma, \tau)$  in  $\Delta$  is strongly connected if we have a sequence of facets  $\sigma = \sigma_1, \sigma_2, \dots, \sigma_n = \tau$  s.t.  
 $\sigma_i \cap \sigma_{i+1}$  is  $d - \dim \sigma_i - 1$ .  
 Thus  $\Delta$  is strongly-connected iff every pair is strongly connected.
- ① First show that, if  $\Delta^{d-1}$  is strongly connected and  $\sigma \cap \tau \neq \emptyset$ , then  $(\sigma, \tau)$  is strongly connected.
  - ② Now suppose  $\sigma \cap \tau = \emptyset$ . Let  $\sigma', \tau'$  be a  $(d-1)$ -dim face of  $\sigma, \tau$ , resp. Then we have a sequence  $\sigma' = \sigma'_1, \sigma'_2, \dots, \sigma'_n = \tau'$  strongly-connecting  $(\sigma', \tau')$  in  $\Delta^{d-1}$ .
  - ③ For  $i=1, 2, \dots, n$ , pick a  $d$ -simplex  $\sigma_i \in \Delta$  with  $\sigma'_i$  as a face, with  $\sigma_1 = \sigma, \sigma_n = \tau$ .
  - ④ Show that  $\sigma_i \cap \sigma_{i+1} \neq \emptyset \forall i$ . Thus each pair  $(\sigma_i, \sigma_{i+1})$  is strongly-connected. Hence show that  $(\sigma, \tau)$  is strongly-connected.
- ⑧ Suppose  $d \geq 2$  and  $\Delta$  satisfies property P. Use ⑤ and ⑥ to conclude  $\Delta^{d-1}$  also satisfies property P. Inductively assume  $\Delta^{d-1}$  is strongly connected, and now use ⑦ to conclude that  $\Delta$  is strongly connected.

Ex 24: Conclude from Ex 23 that if  $\Delta$  is (homotopy or homology) CM, then  $\Delta$  is pure and strongly connected.